

BRS PoW Activity: BC 34
WBSE: SB-005541.02.03.02
Grant: M1-32BDL-000011
Cost to UNEP/SBC: USD 44,248
P1 Grant: _____

Small-Scale Funding Agreement

THIS SMALL SCALE FUNDING AGREEMENT (SSFA) and its annexes (this "Agreement") is made on 6th December 2017

BETWEEN:

The Secretariat of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal (SBC), administered by the United Nations Environment Programme (UNEP), an international inter-governmental organization established by the General Assembly of the United Nations, having their offices at the International Environment House, 13-15 Chemin des Anémones, 1219-Châtelaine, Geneva, Switzerland (hereinafter referred to as "**SBC/UNEP**").

AND:

The Basel Convention Regional Center for the South American Region in Argentina, a non-profit making organization hosted by the *Instituto Nacional Tecnología Industrial* (INTI), having its offices at Avenida Leandro N. Alem, 1067, 7th Floor, 1001, Buenos Aires, Argentina (hereinafter referred to as the "**Partner**").

SBC/UNEP and the Partner may hereinafter be referred to as, collectively, the "**Parties**" and, individually, as "**Party**".

SBC/UNEP agrees to co-operate with the Partner with respect to the project entitled "**Assessing financial guarantees to cover transboundary movements of hazardous and other wastes in South America**" (hereinafter referred to as the "**Project**"). Annexes to this Agreement, including the attached Implementation Plan (Annex-A), Budget (Annex-B), Interim Progress Report Template (Annex-C), Final Progress Report Template (Annex-D), and Interim/Final Expenditure Report Template (Annex-E) are part of this Agreement.

1. Project objectives to which the small-scale funding contributes:

- To produce a comparative analysis of the legal and institutional frameworks for financial guarantees applying to transboundary movements of hazardous and other wastes in selected countries in South America;

- To identify measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina;
- To provide a practical example of how Parties to the Basel Convention may use the draft guidance to improve the implementation of paragraph 11 of Article 6 of the Basel Convention, as developed by the Committee for administering the Mechanism for Promoting Implementation and Compliance (hereinafter referred to as the "Implementation and Compliance Committee"), and receive feedback on the tool;
- To disseminate and discuss the project outputs in a regional meeting, including possibilities to harmonize financial guarantee requirements within the region.

2. Activities to be carried out with the support of the small-scale funding:

- Define the parameters for the comparative analysis on legal and institutional frameworks for financial guarantees to cover transboundary movements of hazardous and other wastes in selected countries in South America;
- Contract an expert on the basis of clearly defined terms of reference, and whose appointment shall be subject to prior approval by SBC/UNEP, to conduct a comparative analysis on legal and institutional frameworks for financial guarantees to cover transboundary movements of hazardous and other wastes in selected countries in South America;
- Provide the necessary logistical and administrative support for the expert to undertake the assigned work;
- Submit to SBC/UNEP the comparative analysis on legal and institutional frameworks for financial guarantees to cover transboundary movements of hazardous and other wastes in selected countries in South America, including any necessary revisions to incorporate comments from SBC/UNEP by the deadline specified in Annex A;
- Contract an expert on the basis of clearly defined terms of reference, and whose appointment shall be subject to prior approval by SBC/UNEP, to draft a report recommending measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina;
- Provide the necessary logistical and administrative support for the national expert to undertake the assigned work;

- Submit the report on measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements and other wastes in Argentina, including any necessary revisions to incorporate comments from SBC/UNEP by the deadline specified in Annex A;
- Prepare, in consultation with the expert, and submit written feedback on further development and improvement of the draft guidance to improve the implementation of paragraph 11 of Article 6 of the Convention developed by the Implementation and Compliance Committee, including any necessary revisions to incorporate comments from SBC/UNEP by the deadline specified in Annex A;
- Organize a 2 (two)-day face-to-face meeting in Buenos Aires, Argentina, for national stakeholders, two government representatives from each project country and representatives from the insurance and financial sector to disseminate and discuss the project outputs, including:
 - a. Prepare and dispatch invitation letters and meeting agenda;
 - b. Arrange and pay for air tickets and Daily Subsistence Allowance (DSA) to sponsored participants in accordance with the United Nations rules and regulations;
 - c. Organise, secure and pay for adequate logistical arrangements, including suitable venue equipped with adequate facilities;
 - d. Make the necessary arrangements, including financial arrangements, to provide refreshments during the coffee breaks;
 - e. Prepare, organise and coordinate the necessary presentations for the meeting;
 - f. Participate in and provide technical support, as well as assist in and facilitate the discussions throughout the meeting;
- Prepare and submit to SBC/UNEP no later than 1 (one) month after the close of the meeting a summary report of the meeting in English, comprising the following components:
 - a. Introduction, including background information and purpose of the meeting;
 - b. Summary of discussions, including comments and statements made at the meeting;
 - c. Recommendations and conclusions from the meeting;
 - d. Annexes, including list of participants and agenda of the meeting;
- Liaise with the Basel Convention Regional and Coordinating Centre in Uruguay to disseminate the outputs of the Project;
- Prepare and submit to SBC/UNEP a final progress report in English, in accordance with clauses 7 and 8 of the present Agreement;

- Prepare and submit to SBC/UNEP an expenditure report in English, in accordance with clauses 7 and 8 of the present Agreement;
- Enter into such other administrative and/or contractual arrangements as may be reasonably required for the effective completion of the responsibilities under the present Agreement, as agreed with SBC/UNEP.

3. Expected results/outputs to be achieved:

- A comparative analysis of the legal and institutional frameworks for financial guarantees to cover transboundary movements of hazardous and other wastes in selected countries in South America;
- A report recommending measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina;
- Written feedback on the draft guidance to improve the implementation of paragraph 11 of article 6 of the Convention developed by the Implementation and Compliance Committee;
- A 2 (two)-day regional meeting for national stakeholders, two government representatives from each project country and representatives from the insurance and financial sector, to disseminate and discuss the project outputs, including possibilities to harmonize financial guarantee requirements within the region.

4. Starting and ending dates for implementation of the activities:

- Start date: upon signature by both the Partner and SBC/UNEP.
- End date: **31st December 2018.**

5. SBC/UNEP shall provide the Partner with funds up to a maximum amount of US\$44,248 (Forty-Four Thousand Two Hundred and Forty-Eight United States Dollars). The resources provided by SBC/UNEP will only be used by the Partner in pursuit of the project objectives and for the activities to achieve the results as agreed to in clauses 1 – 3 above. Funds will be made available in two instalments as indicated below and upon receipt of a payment request in United States Dollars, as follows:

Schedule of payments

Inst. No.	Amount to be paid (in USD)	Payment Schedule
1	USD 33,186 (Thirty-Three Thousand One Hundred and Eighty-Six United States Dollars), <u>corresponding to 75% of the total Budget</u>	Upon signature of the present Agreement by both Parties.

Inst. No.	Amount to be paid (in USD)	Payment Schedule
2	USD 11,062 (Eleven Thousand and Sixty-Two United States Dollars), <u>corresponding to 25% of the total Budget</u>	Upon completion of all activities to the full satisfaction of SBC/UNEP and upon receipt and approval by SBC/UNEP of a final certified statement of expenditures in accordance with Clause 8 below and of the final progress and financial reports specified in Clause 7.

The funds shall be deposited in:

Bank name: Banco de La Nación Argentina
Branch office: N° 019 Avda. de Los Constituyentes
Bank address: Avenida de Los Constituyentes N° 5451
 Cod.Postal: 1431
 Ciudad Autónoma de Buenos Aires – República Argentina

Signatories:
 Ms Leila Devia, Directora

Account holder name: INTI – CENTROS DE INVESTIGACION
Account Number: 310.160/91
IBAN: 01100938-20000310160911
SWIFT: NAC NAR BA XXX

6. Within 3 (three) months upon expiry of this Agreement, the Partner shall refund to SBC/UNEP any unspent balance of the above funds.

7. SBC/UNEP and the Partner will cooperate to monitor the progress of this Project. The Partner shall submit to SBC/UNEP, interim progress and financial reports (at the end of each calendar year at least); as well as a final financial report and a final progress report at or near the given dates below:

<u>Reports</u>	<u>Date</u>
Interim progress and expenditure reports	31st December 2017
Final progress report	31st December 2018
Final expenditure report	31st December 2018

8.a. The Partner shall submit financial statements on the use of funds provided, as per UNEP's required format and within the agreed timeframe.

8.b. The Partner shall keep accurate and up-to-date records and documents in respect of all expenditures incurred with the funds made available SBC/UNEP under this Agreement, to ensure that all expenditures are in conformity with the provisions of this Agreements and its annexes thereto.

8.c. Upon completion of the Project/or Termination of this Agreement, the Partner shall maintain the records for a period of at least 3 (three) years unless otherwise agreed upon between the Parties.

8.d. SBC/UNEP has the right, at its own expense, to have the records of the Partner related to this cooperation reviewed and audited.

9.a. The Partner shall consult with SBC/UNEP regarding the Intellectual Property Rights as appropriate.

9.b. The Partner shall only use the Basel Convention's and/or UNEP's respective names, logos and emblems in connection with the Project with the prior written consent(s) of SBC and/or of UNEP respectively.

9.c. In no event will authorization(s) to use the Basel Convention's and/or UNEP respective names, logos or emblems, or any abbreviations thereof, be granted for commercial purposes.

10. This Agreement can only be changed through a modification agreed in writing by both Parties.

11. Either Party may terminate this Agreement upon serving a written notice to the other party at least 1 (one) month prior to such termination.

12. The Partner shall comply with all the above mentioned provisions and agrees that SBC/UNEP reserves the right to withhold any payment due to the Partner's non-compliance with any of the provisions mentioned hereinabove.

13. Any dispute arising out of or in connection with this Agreement shall be settled amicably between SBC/UNEP and the Partner. Should attempts at amicable negotiation fail, any such dispute shall, upon request by either party, be referred to arbitration in accordance with the UNCITRAL arbitration rules. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

14. This Agreement shall enter into force upon signature by the Parties being effective from the date of the latest signature, and shall remain valid for until **31st March 2019**, unless terminated earlier pursuant to clause 11 above.

15. The Parties shall carry out their respective responsibilities in accordance with the provisions of the Agreement. The Parties shall determine and communicate to each other their respective focal points, having the responsibility for the Project implementation on its behalf.

16.a The Partner shall be solely and completely responsible and accountable for all services performed by its personnel, agents, employees, or contractors (hereinafter referred to as "**Personnel**").

16.b. The Partner shall be considered as having the legal status of an independent contractor. Personnel of the Partner, its contractors or anyone else working for the Partner in the execution of the Project or otherwise, are not employees of SBC, of UNEP and of the UN, and are not covered by the privileges and immunities applying to SBC, UNEP, the UN, and their staff

pursuant to the Convention on the Privileges and Immunities of the United Nations. SBC, UNEP and the UN shall not accept any liability for claims arising out of the activities performed under the Agreement, or any claims for death, bodily injury, disability, damage to property or other hazards that may be suffered by the Partner's Personnel as a result of their work pertaining to the activities under this Agreement.

16.c. The Partner shall ensure that its Personnel meet the highest standards of qualification and technical and professional competence necessary for the achievement of the objectives and results of the Project, and that decisions on employment related to the Project shall be free of discrimination of any nature. The Partner shall ensure that all Personnel are free from any conflicts of interest relating to the Project activities.

17.a. The Partner shall not seek nor accept instructions regarding the activities under the present Agreement from any Government or other authority external to SBC/UNEP.

17.b. The Partner shall refrain from any conduct that would adversely reflect on the United Nations, UNEP and SBC and shall not engage in any activity which is incompatible with the aims and objectives of the United Nations or the respective mandates of SBC and of UNEP.

18. Nothing in or relating to this Agreement shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, UNEP and of SBC.

IN WITNESS WHEREOF, the undersigned, being duly authorized there to, have signed the present Agreement in the English language and 2 (two) copies of each.

For the **Secretariat of the Basel Convention:**



Mr. Rolph Payet
Executive Secretary

Date: 06/12/2017

For the **Basel Convention Regional Center for the South American Region in Argentina:**



Ms. Leila Devia
Director

Date: 11 / 12 / 20 17

Annexes:

Implementation Plan (Annex-A)

Budget (Annex-B)

Interim Progress Report Template (Annex-C)

Final Progress Report Template (Annex-D)

Final and Interim Expenditure Report Template (Annex-E)

Annex A: Implementation Plan

Background

Article 6 of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal pertains to transboundary movements of hazardous and other wastes among Parties to the Convention. The article sets out the conditions and procedures that must be complied with for such a movement to take place. Paragraph 11 of Article 6 provides that “[a]ny transboundary movement of hazardous wastes or other wastes shall be covered by insurance, bond and other guarantee as may be required by the State of import or any State of transit which is a Party”.

The insurance, bond and guarantee (hereinafter referred to as “financial guarantee”), mentioned in paragraph 11 of Article 6, are intended to provide for immediate funds for alternative management wastes, in cases where a waste shipment or disposal operation cannot be carried out as originally intended.¹ This may, for example, be due to improper classification, packaging or labelling of the wastes, accidents or the unavailability of appropriate disposal facilities in the importing country.² The duty to arrange the financial guarantees required in accordance with national legislation of the countries concerned lies with the exporter.³ Accordingly, section 17 of the notification document for transboundary movements of hazardous and other wastes requires the exporter/notifier or generator/producer to “certify [inter alia] that any applicable insurance or other financial guarantee covering the transboundary movement is or shall be in force”.⁴ (See also section 15 of the movement document).

Parties have implemented paragraph 11 of Article 6 through a wide variety of methods. The differences relate, for example, to the types of admitted financial guarantees, the scope of those guarantees, the designation of the guarantor and beneficiary and the calculation of the insurance sum. This lack of common standards has been identified as an obstacle to the wider use of such guarantees.

In response, the expert working group on environmentally sound management initiated work to develop a practical manual on insurance and liability. A first draft of the manual was considered at the third meeting of the group, at which time the group decided to put the further development of the manual on hold.⁵ Moreover, the Conference of the Parties mandated the Implementation and Compliance Committee to develop guidance to improve the implementation of paragraph 11 of Article 6 of the Basel Convention (hereinafter referred to as the ‘guidance document’).⁶ A draft of the guidance document was most recently

¹ Guide to the Control System (Instruction Manual), adopted by the fourth meeting of the Conference of the Parties, Kuching, Malaysia February 1998, Section 4.4, page 8.

² Guide to the Control System (Instruction Manual), adopted by the fourth meeting of the Conference of the Parties, Kuching, Malaysia February 1998, Section 4.4, page 8.

³ Id. page 12.

⁴ The form was adopted by decision VIII/18 in 2006.

⁵ EWG-3, UN Doc UNEP/CHW/CLI_EWG.3/INF/7 (including the most recent draft of the manual).

⁶ COP-11, BC-11/8, Annex II (Work programme for 2014-2015).

considered at the thirteenth meeting of the Conference of the Parties at which time Parties were invited to submit comments on the draft for consideration by the Implementation and Compliance Committee.⁷ The guidance is to be finalized during the 2018-2019 biennium and submitted for consideration and possible adoption at the fourteenth meeting of the Conference of the Parties.

The Project under the present Agreement will provide further input to the development and finalization of the draft guidance document by providing:

- a. Information on legal and institutional frameworks for financial guarantees applying to transboundary movements of hazardous and other wastes in selected countries in South America;
- b. Written feedback on the draft guidance document, including suggestions for further improvement, based on its usefulness in recommending measures to revise and develop the laws and policies governing financial guarantees in Argentina;
- c. A practical example of how the use of financial guarantees to cover transboundary movements of hazardous and other wastes can be relevant to countries that prohibit or restrict the import and/or transit of hazardous wastes.

The outputs of the Project will also inform the ongoing reform of insurance laws and policies on high environmental risk activities in Argentina, and thereby ensure that the specificities related to the transboundary context of insurance coverage for transboundary movements of wastes are taken into account in this reform.

Objectives

The main objectives of this Project are:

- a. To produce a comparative analysis of the legal and institutional frameworks for financial guarantees applying to transboundary movements of hazardous and other wastes in selected South American countries;
- b. To recommend measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina;
- c. To provide feedback on the draft guidance to improve the implementation of paragraph 11 of Article 6 of the Convention developed by the Implementation and Compliance Committee;

⁷ Document UNEP/CHW.13/INF/24; decision BC-13/9.

- d. To disseminate and discuss the findings of the developed reports in a regional meeting, including the possibility to harmonize requirements at the regional level.

Activities

Activity 1 – Comparative analysis of the legal and institutional frameworks for financial guarantees applying to transboundary movements of hazardous and other wastes in selected countries in South America

BCRC Argentina selects an expert to conduct a comparative analysis of the legal and institutional framework for financial guarantees applying to transboundary movements of hazardous and other wastes in selected countries in South America. The analysis shall include the following components:

- a. Analysis of the institutional framework to govern and regulate financial guarantees covering transboundary movements of hazardous and other wastes for each project country;
- b. Analysis of the legal framework for financial guarantees to cover transboundary movements of hazardous and other wastes for each project country;
- c. Analysis of the practices of the insurance and financial sector regarding financial guarantees to cover transboundary movements of hazardous and other wastes for each project country;
- d. Comparative analysis of the institutional and legal frameworks and practices in the insurance and financial sectors of the different project countries.

Deliverables	Delivery dates
BCRC Argentina establishes an overall structure for the report	December 2017
Advertise position for expert	January 2017
Select expert	February 2018
Expert submits draft comparative analysis	April 2018
SBC, BCRC Argentina, MoE of Argentina and other interested entities (e.g. Ministries of project countries) submit comments	May 2018
Expert incorporates comments received and submits revised comparative analysis	June 2018

Activity 2 – Report on recommended measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina

Based on the comparative analysis produced under activity 1 and the draft guidance document developed by the Implementation and Compliance Committee, the expert drafts a report recommending measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina. The suggested measures should ensure the prompt availability of financial resources if problems arise in the course of a transboundary movement of hazardous and other wastes, and the institutional capacity to take the necessary measures in response. The report should also consider the possibility to implement financial guarantees or other mechanisms to cover costs arising from liability for damage, in particular environmental damage, caused by transboundary movements of hazardous or other wastes, taking into account the recommendations set forth in the draft guidance document.

The report will feed into the ongoing discussions on a more general reform of insurance laws and policies on high environmental risk activities, and thereby ensure that the specificities of the transboundary context will be taken into account in this reform.

Deliverables	Delivery dates
Expert submits draft report	July 2018
SBC, BCRC Argentina, MoE of Argentina and other interested entities (e.g. Ministries of project countries) submit comments	August 2018
Expert incorporates comments received and submits revised report	September 2018

Activity 3 - Feedback on the draft guidance to improve the implementation of paragraph 11 of Article 6 of the Convention developed by the Implementation and Compliance Committee

Whilst finalising activities 1 and 2, BCRC Argentina submits to SBC written feedback on the usefulness of the draft guidance document developed by the Implementation and Compliance Committee, including suggestions to further develop or improve the guidance, if appropriate.

The written feedback shall, with the example of Argentina, highlight the relevance of insurance coverage requirements for transboundary movements of hazardous and other wastes for countries that prohibit or restrict the import and/or transit of hazardous wastes. Such countries may for example use insurance requirements as follows:

- a. To ensure coverage for transboundary movements of 'other wastes' and wastes falling outside the scope of the Convention, including to make sure that waste shipments mislabelled as non-hazardous wastes are covered by financial guarantees;
- b. To ensure coverage of the export of hazardous and other wastes, including to ensure that in cases where the transboundary movement

cannot be completed the necessary financial resources to re-import these wastes are readily available.

The written feedback shall be provided in consultation with the expert.

Deliverables	Delivery dates
Expert submits draft feedback on the draft guidance document	July 2018
BCRC Argentina, MoE of Argentina and BC Secretariat provide comments	August 2018
Expert submits revised/complemented feedback	September 2018

Activity 4 – Dissemination and discussion of the project outputs in a regional meeting, including possible approaches to harmonize insurance requirements at the regional level

In order to disseminate and discuss the comparative analysis developed under activity 1 and the report developed under activity 2, BCRC Argentina organizes a 2 (two) - day meeting in Buenos Aires, Argentina, for national stakeholders, 2 (two) government agents from each project country and representatives from the insurance and financial sector. The meeting will serve to:

- a. Present the findings of the comparative analysis on the legal and institutional frameworks for financial guarantees applying to transboundary movements of hazardous and other wastes in selected countries in South America;
- b. Present the report on recommended measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina;
- c. Exchange experiences and discuss possible challenges in the implementation of the recommended measures;
- d. Consider possible approaches to harmonize the requirements for financial guarantees to cover transboundary movements of hazardous wastes and other wastes at the regional level, including through involvement of the insurance and financial sector, taking into account the recommendations set forth in the draft guidance document developed by the Implementation and Compliance Committee.

Deliverables	Delivery dates
BCRC Argentina sends out invitations for meeting	September 2018
Deadline for confirmation of participation	October 2018
Two-day meeting in Buenos Aires	November 2018
BCRC Argentina submits summary meeting report to the Secretariat	December 2018

Activity 5 – Reporting to the Secretariat of the Basel Convention

BCRC Argentina drafts the final project report and final expenditure report using the templates in Annexes D and E to the Small-Scale Funding Agreement (SSFA) and submits both reports to the Secretariat of the Basel Convention, including the project outputs developed under activities 1 to 4 in the annex to the final project report.

Deliverables	Delivery date
BCRC Argentina submits the final project report to the BC Secretariat (please attach the final deliverables of activities 1 to 4)	December 2018
BCRC Argentina submits final expenditure report	December 2018

**Annex B – Budget
(in USD)**

Budget						
Activity	Quantity	Unit	Unit Cost (USD)	Total Cost (USD)	In-kind by BCRC	Cost from BRS (USD)
Activity 1 – Comparative analysis of the legal and institutional frameworks for financial guarantees to cover transboundary movements of hazardous and other wastes in selected countries in South America						
Expert	40	Person days	300	12,000	-	12,000
BCRC staff member	5	Person days	500	2,500	2,500	-
Miscellaneous (Printing, communications)			600	600	-	600
Sub-total					2,500	12,600
Activity 2 – Report on recommended measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina						
Expert	10	Person days	300	3,000	-	3,000
BCRC staff member	3	Person days	500	1,500	1,500	-
Miscellaneous (Printing, communications, local transport)			300	300	-	300
Sub-total					1,500	3,300
Activity 3 – Feedback on the draft guidance to improve the implementation of paragraph 11 of article 6 of the convention developed by the Implementation and Compliance Committee						
Expert	5	Person days	300	1,500	-	1,500
BCRC staff member	3	Person days	500	1,500	1,500	-
Sub-total					1,500	1,500
Activity 4 – Dissemination and discussion of the project outputs in a regional meeting, including possible approaches to harmonize insurance requirements at the regional level						
Expert	3	Person days	300	900	-	900
BCRC staff member	5	Person days	500	2,500	2,500	-
Air ticket (Expert)	1	Ticket costs	400	400	-	400
DSA for Expert	3 days / 1 person	DSA per day	257	770	-	770
Air tickets (2 funded government representatives the 9	18	Ticket costs	400	7,200	-	7,200

countries served by BCRC Argentina)						
DSA for participants for 2 - day workshop	3 days / 18 persons	DSA per day	257	13,878	-	13,878
Workshop venue, coffee breaks and logistic support for 30 persons	2	Venue per day	1,500	3,000	-	3,000
Miscellaneous (Printing, communications, local transport)			700	700	-	700
Sub-total					2,500	26,848
Total Cost					8,000	44,248

Annex C - Interim Progress Report Template
 [Report to be completed by BCRC Argentina]

1. Identification:

Partner's Name: The Basel Convention Regional Center for the South American Region in Argentina (BCRC Argentina)

Budget line: WBSE: SB-005541.02.03.02

POW 2016-2017 Sub-programme: BC 34

Expected Accomplishment(s): To assess financial guarantees to cover transboundary movements of hazardous and other wastes in South America

Outputs: (i) A comparative analysis; (ii) A report; (iii) written feedback on the draft guidance; (iv) A 2 (two)-day regional meeting.

Title of the approved PRC project: "Assessing financial guarantees to cover transboundary movements of hazardous and other wastes in South America"

SSFA starting date: [please insert the date of signature by both Parties, once known]

Completion date: 31 December 2018

2. Summary of Status:

3. Activity delivery status

Activity	Description of work undertaken during reporting period	Deliverables	Delivery date	Status of Activity (complete d or not completed)	If activity not completed, please describe the reason why and indicate mitigation actions that were taken.
Activity 1 – Comparative analysis					
Activity 2 – Report					
Activity 3 – Feedback on the draft guidance					
Activity 4 – Dissemination and discussion of the project outputs in a regional meeting					

4. List of attached documents

Insert as appropriate

Signature:

Date:

Name and title of signing officer:

Annex D - Final Progress Report Template
 [Report to be completed by BCRC Argentina]

1. Identification:

Partner's Name: The Basel Convention Regional Center for the South American Region in Argentina (BCRC Argentina)

POW 2016-2017 Sub-programme: BC 34

Expected Accomplishment(s): To assess financial guarantees to cover transboundary movements of hazardous and other wastes in South America

Outputs: (i) A comparative analysis; (ii) A report; (iii) written feedback on the draft guidance; (iv) A 2 (two)- day regional meeting.

Title of the approved PRC project: "Assessing financial guarantees to cover transboundary movements of hazardous and other wastes in South America".

SSFA starting date: [please insert the date of signature by both Parties, once known]

Completion date: 31 December 2018

2. Summary of Status:

3. Activity delivery status

Activity	Description of work undertaken during reporting period	Deliverables	Delivery date	Status of Activity (completed or not completed)	If activity not completed, please describe the reason why and indicate mitigation actions that were taken.
Activity 1 – Comparative analysis					
Activity 2 – Report					
Activity 3 – Feedback on the draft guidance					
Activity 4 – Dissemination and discussion of the project outputs in a regional meeting					

4. List of attached documents

- Comparative analysis of the legal and institutional frameworks for financial guarantees to cover transboundary movements of hazardous and other wastes in selected countries in South America;
- Report on recommended measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina;
- Feedback report on the draft guidance to improve the implementation of paragraph 11 of Article 6 of the convention developed by the Implementation and Compliance Committee;
- Report of the regional meeting

Signature:

Date:

Name and title of signing officer:

Annex E - Final and Interim Expenditure Report Template
 [Report to be completed by BCRC Argentina]

Partner's Name: The Basel Convention Regional Center for the South American Region in Argentina (BCRC Argentina)

POW 2016-2017 Sub-programme: BC 34

Expected Accomplishment(s): To assess financial guarantees to cover transboundary movements of hazardous and other wastes in South America

Outputs: (i) A comparative analysis; (ii) A report; (iii) Written feedback on the draft; (iv) A 2 (two)- day regional meeting.

Title of the approved PRC project: "Assessing financial guarantees to cover transboundary movements of hazardous and other wastes in South America

SSFA starting date: [please insert the date of signature by both Parties, once known]

Completion date: 31 December 2018

Activity	Original Budget (USD)	Expenditures in (2016) (USD)	Expenditures incurred in (2017) (USD)	Expenditures incurred in (2018) (USD)	Total Expenditures (USD)	Comments (if the expenditures were different from what was originally planned, please provide a short explanation)
Activity 1 – Comparative analysis						
Expert	12,000					
Miscellaneous (Printing, communications)	600					
Sub-total	12,600					
Activity 2 – Report on recommended measures to revise and develop the laws and policies governing financial guarantees to cover transboundary movements of hazardous and other wastes in Argentina						
Expert	3,000					
Miscellaneous (Printing, communications, local transport)	300					

Sub-total	3,300				
Activity 3 – Feedback on the draft guidance					
Expert	1,500				
Sub-total	1,500				
Activity 4 – Dissemination and discussion of the project outputs in a regional meeting					
Expert	900				
Air ticket (Expert)	400				
DSA for Expert	770				
Air tickets (2 funded government representatives the 9 countries served by BCRC Argentina)	7,200				
DSA for participants for 2 day workshop	13,878				
Workshop venue, coffee breaks and logistic support for 30 persons	3,000				
Miscellaneous (Printing, communications, local transport)	700				
Sub-total	26,848				
Total Cost	44,248				

Signature of a duly Authorized officer:

Name:

Position:

Date: